

**§ 4.130**

**38 CFR Ch. I (7–1–02 Edition)**

**§ 4.130 Schedule of ratings—mental disorders.**

The nomenclature employed in this portion of the rating schedule is based upon the Diagnostic and Statistical Manual of Mental Disorders, Fourth Edition, of the American Psychiatric

Association (DSM–IV). Rating agencies must be thoroughly familiar with this manual to properly implement the directives in § 4.125 through § 4.129 and to apply the general rating formula for mental disorders in § 4.130. The schedule for rating for mental disorders is set forth as follows:

	Rating
<b>Schizophrenia and Other Psychotic Disorders</b>	
9201 Schizophrenia, disorganized type	
9202 Schizophrenia, catatonic type	
9203 Schizophrenia, paranoid type	
9204 Schizophrenia, undifferentiated type	
9205 Schizophrenia, residual type; other and unspecified types	
9208 Delusional disorder	
9210 Psychotic disorder, not otherwise specified (atypical psychosis)	
9211 Schizoaffective disorder	
<b>Delirium, Dementia, and Amnestic and Other Cognitive Disorders</b>	
9300 Delirium	
9301 Dementia due to infection (HIV infection, syphilis, or other systemic or intracranial infections)	
9304 Dementia due to head trauma	
9305 Vascular dementia	
9310 Dementia of unknown etiology	
9312 Dementia of the Alzheimer's type	
9326 Dementia due to other neurologic or general medical conditions (endocrine disorders, metabolic disorders, Pick's disease, brain tumors, etc.) or that are substance-induced (drugs, alcohol, poisons)	
9327 Organic mental disorder, other (including personality change due to a general medical condition)	
<b>Anxiety Disorders</b>	
9400 Generalized anxiety disorder	
9403 Specific (simple) phobia; social phobia	
9404 Obsessive compulsive disorder	
9410 Other and unspecified neurosis	
9411 Post-traumatic stress disorder	
9412 Panic disorder and/or agoraphobia	
9413 Anxiety disorder, not otherwise specified	
<b>Dissociative Disorders</b>	
9416 Dissociative amnesia; dissociative fugue; dissociative identity disorder (multiple personality disorder)	
9417 Depersonalization disorder	
<b>Somatoform Disorders</b>	
9421 Somatization disorder	
9422 Pain disorder	
9423 Undifferentiated somatoform disorder	
9424 Conversion disorder	
9425 Hypochondriasis	
<b>Mood Disorders</b>	
9431 Cyclothymic disorder	
9432 Bipolar disorder	
9433 Dysthymic disorder	
9434 Major depressive disorder	
9435 Mood disorder, not otherwise specified	
<b>Chronic Adjustment Disorder</b>	
9440 Chronic adjustment disorder	

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	Rating
General Rating Formula for Mental Disorders:	
Total occupational and social impairment, due to such symptoms as: gross impairment in thought processes or communication; persistent delusions or hallucinations; grossly inappropriate behavior; persistent danger of hurting self or others; intermittent inability to perform activities of daily living (including maintenance of minimal personal hygiene); disorientation to time or place; memory loss for names of close relatives, own occupation, or own name .....	100
Occupational and social impairment, with deficiencies in most areas, such as work, school, family relations, judgment, thinking, or mood, due to such symptoms as: suicidal ideation; obsessional rituals which interfere with routine activities; speech intermittently illogical, obscure, or irrelevant; near-continuous panic or depression affecting the ability to function independently, appropriately and effectively; impaired impulse control (such as unprovoked irritability with periods of violence); spatial disorientation; neglect of personal appearance and hygiene; difficulty in adapting to stressful circumstances (including work or a worklike setting); inability to establish and maintain effective relationships .....	70
Occupational and social impairment with reduced reliability and productivity due to such symptoms as: flattened affect; circumstantial, circumlocutory, or stereotyped speech; panic attacks more than once a week; difficulty in understanding complex commands; impairment of short- and long-term memory (e.g., retention of only highly learned material, forgetting to complete tasks); impaired judgment; impaired abstract thinking; disturbances of motivation and mood; difficulty in establishing and maintaining effective work and social relationships .....	50
Occupational and social impairment with occasional decrease in work efficiency and intermittent periods of inability to perform occupational tasks (although generally functioning satisfactorily, with routine behavior, self-care, and conversation normal), due to such symptoms as: depressed mood, anxiety, suspiciousness, panic attacks (weekly or less often), chronic sleep impairment, mild memory loss (such as forgetting names, directions, recent events) .....	30
Occupational and social impairment due to mild or transient symptoms which decrease work efficiency and ability to perform occupational tasks only during periods of significant stress, or; symptoms controlled by continuous medication .....	10
A mental condition has been formally diagnosed, but symptoms are not severe enough either to interfere with occupational and social functioning or to require continuous medication .....	0

**Eating Disorders**

9520 Anorexia nervosa	
9521 Bulimia nervosa	
Rating Formula for Eating Disorders:	
Self-induced weight loss to less than 80 percent of expected minimum weight, with incapacitating episodes of at least six weeks total duration per year, and requiring hospitalization more than twice a year for parenteral nutrition or tube feeding .....	100
Self-induced weight loss to less than 85 percent of expected minimum weight with incapacitating episodes of six or more weeks total duration per year .....	60
Self-induced weight loss to less than 85 percent of expected minimum weight with incapacitating episodes of more than two but less than six weeks total duration per year .....	30
Binge eating followed by self-induced vomiting or other measures to prevent weight gain, or resistance to weight gain even when below expected minimum weight, with diagnosis of an eating disorder and incapacitating episodes of up to two weeks total duration per year .....	10
Binge eating followed by self-induced vomiting or other measures to prevent weight gain, or resistance to weight gain even when below expected minimum weight, with diagnosis of an eating disorder but without incapacitating episodes .....	0

NOTE: An incapacitating episode is a period during which bed rest and treatment by a physician are required.

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§ 4.149

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DENTAL AND ORAL CONDITIONS

§ 4.149 [Reserved]

§ 4.150 Schedule of ratings—dental and oral conditions.

	Rating
9900 Maxilla or mandible, chronic osteomyelitis or osteoradionecrosis of: Rate as osteomyelitis, chronic under diagnostic code 5000.	
9901 Mandible, loss of, complete, between angles	100
9902 Mandible, loss of approximately one-half: Involving temporomandibular articulation .....	50
Not involving temporomandibular articulation .....	30
9903 Mandible, nonunion of: Severe .....	30
Moderate .....	10
NOTE—Dependent upon degree of motion and relative loss of masticatory function.	
9904 Mandible, malunion of: Severe displacement .....	20
Moderate displacement .....	10
Slight displacement .....	0
NOTE—Dependent upon degree of motion and relative loss of masticatory function.	
9905 Temporomandibular articulation, limited motion of: Inter-incisal range:	
0 to 10 mm .....	40
11 to 20 mm .....	30
21 to 30 mm .....	20
31 to 40 mm .....	10
Range of lateral excursion: 0 to 4 mm .....	10
NOTE—Ratings for limited inter-incisal movement shall not be combined with ratings for limited lateral excursion.	
9906 Ramus, loss of whole or part of: Involving loss of temporomandibular articulation	
Bilateral .....	50
Unilateral .....	30
Not involving loss of temporomandibular articulation	
Bilateral .....	30
Unilateral .....	20
9907 Ramus, loss of less than one-half the substance of, not involving loss of continuity: Bilateral .....	20
Unilateral .....	10
9908 Condyloid process, loss of, one or both sides	30
9909 Coronoid process, loss of: Bilateral .....	20
Unilateral .....	10
9911 Hard palate, loss of half or more: Not replaceable by prosthesis .....	30
Replaceable by prosthesis .....	10
9912 Hard palate, loss of less than half of: Not replaceable by prosthesis .....	20
Replaceable by prosthesis .....	0
9913 Teeth, loss of, due to loss of substance of body of maxilla or mandible without loss of continuity: Where the lost masticatory surface cannot be restored by suitable prosthesis:	
Loss of all teeth .....	40
Loss of all upper teeth .....	30
Loss of all lower teeth .....	30
All upper and lower posterior teeth missing	20
All upper and lower anterior teeth missing ...	20
All upper anterior teeth missing .....	10
All lower anterior teeth missing .....	10

	Rating
All upper and lower teeth on one side missing .....	10
Where the loss of masticatory surface can be restored by suitable prosthesis .....	0
NOTE—These ratings apply only to bone loss through trauma or disease such as osteomyelitis, and not to the loss of the alveolar process as a result of periodontal disease, since such loss is not considered disabling.	
9914 Maxilla, loss of more than half: Not replaceable by prosthesis .....	100
Replaceable by prosthesis .....	50
9915 Maxilla, loss of half or less: Loss of 25 to 50 percent:	
Not replaceable by prosthesis .....	40
Replaceable by prosthesis .....	30
Loss of less than 25 percent:	
Not replaceable by prosthesis .....	20
Replaceable by prosthesis .....	0
9916 Maxilla, malunion or nonunion of: Severe displacement .....	30
Moderate displacement .....	10
Slight displacement .....	0

[59 FR 2530, Jan. 18, 1994]

APPENDIX A TO PART 4—TABLE OF AMENDMENTS AND EFFECTIVE DATES SINCE 1946

Sec.	
4.16	Last sentence; March 1, 1963.
4.17	October 7, 1948.
4.17a	March 1, 1963.
4.29	Introductory portion preceding paragraph (a); March 1, 1963. Paragraph (a) "first day of continuous hospitalization"; April 8, 1959. Paragraph (a) "terminated last day of month"; December 1, 1962. Paragraph (a) penultimate sentence; November 13, 1970. Paragraph (b); April 8, 1959. Paragraph (c); August 16, 1948. Paragraph (d); August 16, 1948. Paragraph (e); March 1, 1963. Paragraph (f); August 9, 1976. NOTE: Application of this section to psychoneurotic and psychophysiologic disorders effective October 1, 1961.
4.30	Introductory portion of paragraph (a) preceding subparagraph (1); July 6, 1950. Paragraph (a)(1); June 9, 1952. Paragraph (a)(2); June 9, 1952. Paragraph (a)(3); June 9, 1952. Effective as to outpatient treatment March 10, 1976. Paragraph (b)(1); March 1, 1963. Paragraph (b)(2); August 9, 1976.
4.55	Paragraph (b) first sentence; March 1, 1963.
4.63	June 17, 1948.
4.64	October 1, 1956.
4.71a	Diagnostic Code 5000—60 percent; February 1, 1962. Diagnostic Code 5000 NOTE (2): First three sentences; July 10, 1956. Last sentence; July 6, 1950. Diagnostic Code 5002—100 percent, 60 percent, 40 percent, 20 percent; March 1, 1963. Diagnostic Code 5003; July 6, 1950. Diagnostic Code 5012—NOTE; March 10, 1976.